

DOCKET NO.: CRTW-0004

#16  
PATENT  
Attachment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shawn D. Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

Filing Date: September 29, 2000

Examiner: Not Yet Assigned

For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME

DATE OF DEPOSIT: May 1, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID  
ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, DC 20231.

*George J. Awad*  
TYPED NAME: George J. Awad  
REGISTRATION NO.: 46,528

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

TRANSMITTAL LETTER

In response to the Notice of Incomplete Reply dated mailed April 17, 2001, enclosed herewith for filing in the above-identified patent application is:

- ☒ A Response to Notice of Incomplete Reply and copies of all papers filed on January 24, 2001 in Response to Notice to File Missing Parts, including executed Combined Declaration and Power of Attorney, Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts, Associate Power of Attorney, check covering the surcharge in the amount of \$65.00, and postcard with OIPE date stamp of January 26, 2001.
- ☒ A Preliminary Amendment.
- ☐ An Amendment Responsive to the Office Action Dated \_\_\_\_\_
- ☐ An Amendment Supplemental to the Paper filed \_\_\_\_\_

DOCKET NO.: CRTW-0004

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PATENT

- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages \_\_\_\_\_ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ \_\_\_\_\_ Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
  - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: \_\_\_\_\_
- ☐ Other Material as follows: \_\_\_\_\_

## FEE CALCULATION

☐ No Additional Fee is Due.

	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
TOTAL CLAIMS	46	43 (20 MINIMUM)	3	\$9 EACH	\$27	\$18 EACH	\$
INDEP. CLAIMS	10	9 (3 MINIMUM)	1	\$40 EACH	\$40	\$80 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$135	\$	\$270	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$195	\$	\$390	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$445	\$	\$890	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$690	\$	\$1390	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$945	\$	\$1890	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE					\$67.00		\$

- ☒ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

DOCKET NO.: CRTW-0004

- 4 -

PATENT

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: May 1, 2001

  
George J. Awad  
Registration No. 46,528

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

**DOCKET NO.: CRTW-0004**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Shawn D. Cartwright**

**Serial No.: 09/676,448**

**Group Art Unit: 2161**

**Filed: September 29, 2000**

**Examiner: Not Yet Assigned**

**For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME**

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On May 1, 2001

George J. Awad  
Registration No. 46,528

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE OF INCOMPLETE REPLY**

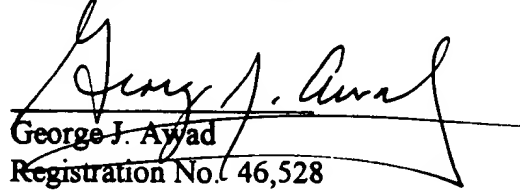
In response to the Notice of Incomplete Reply (copy enclosed) mailed April 17, 2001, enclosed please find the executed Combined Declaration and Power of Attorney document which was originally filed in the U.S. Patent and Trademark Office on January 24, 2001, under the first class mailing certification process. Also enclosed is a copy of the postcard containing a date stamped receipt by OIPE on January 26, 2001, evidencing receipt of the executed Combined Declaration and Power of Attorney, as well as the Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts, Associate Power of Attorney, and check covering the surcharge in the amount of \$65.00 (copies also enclosed).

**DOCKET NO.: CRTW-0004**

**PATENT**

Since the Response to the Notice to File Missing Parts was timely filed on January 24, 2001, it is believed that no extension of time or additional fee is needed at this time. However, the Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 23-3050. The Commissioner is also requested to grant an extension of time if one is deemed necessary to provide for the timely filing of this reply.

Respectfully submitted,

  
George J. Aywad  
Registration No. 46,528

Date: May 1, 2001

WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
(215) 568-3100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,448	09/29/2000	Shawn D. Cartwright	CRTW-0004

CONFIRMATION NO. 3485

## FORMALITIES LETTER



\*OC000000005976094\*

George J. Awad  
WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103

Date Mailed: 04/17/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 12/19/2000 to the Notice to File Missing Parts (Notice) mailed 11/24/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

---

*A copy of this notice **MUST** be returned with the reply.*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Response to Notice to File Missing Parts of Application w/Certificate of First Class Mailing (in duplicate); Notice to File Missing Parts of Application - Filing Date Granted dated November 24, 2000; Executed Declaration and Power of Attorney; Associate Power of Attorney; Check for \$65.00; Return Postcard

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** January 24, 2001

MDS/GJA/Hope Graves

**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Response to Notice to File Missing Parts of Application w/Certificate of First Class Mailing (in duplicate); Notice to File Missing Parts of Application - Filing Date Granted dated November 24, 2000; Executed Declaration and Power of Attorney; Associate Power of Attorney; Check for \$65.00; Return Postcard

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** January 24, 2001

MDS/GJA/Hope Graves



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Shawn D.  
Cartwright

Serial No.: 09/676,448

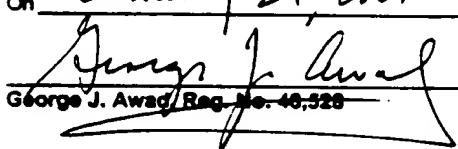
Group Art Unit: 2161

Filing Date: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME**

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

On January 24, 2001  
  
George J. Awad/Reg. No. 46,528

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

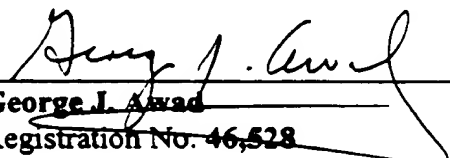
**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the "Notice to File Missing Parts of Application--Filing Date  
Granted" dated November 24, 2000, a response to which is due January 24, 2001, enclosed  
herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531 173 605US on September 29, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.

- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization
- ☒ A check in the amount of \$65.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: January 24, 2001

  
George J. Awad  
Registration No. 46,528

WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

100390  
CHECK NO.


4Y SIXTY-FIVE AND 00/100 DOLLARS

**COPY OF PAPERS  
ORIGINALLY FILED**

**\$\*\*\* \*\*\* \*\*\* \*65.00**  
**CHECK AMOUNT**

THE  
ORDER

**DIRECTOR OF THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**



THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

# 100390# 1:03 190 10971: # 10 4 060 1#

**ODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP**

**DETACH AND RETAIN THIS STATEMENT**  
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

CHECK NO. 100390  
01/24/2001

INVOICE DATE	INVOICE NO.	DESCRIPTION	AMOUNT PAID
01/24/2001	CRTW-0004		65.00

guard'

**TOTAL CHECK**

**65.00**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,448	09/29/2000	Shawn D. Cartwright	CRTW-0004

George J. Awad  
WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 48th Floor  
Philadelphia, PA 19103

## FORMALITIES LETTER



\*OC000000005575458\*

Date Mailed: 11/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Shawn D. Cartwright

For: **SYSTEM AND METHODS FOR  
OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME**

Group Art Unit: Not Yet  
Assigned

Examiner: Not Yet Assigned

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a

☒ Utility Patent      ☐ Design Patent

is sought on the invention, whose title appears above, the specification of which:

- ☐ is attached hereto.
- ☒ was filed on September 29, 2000 as Serial No. 09/676,448.
- ☐ said application having been amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of any application on which priority is claimed:

Priority  
Claimed  
(If X'd)

Country

Serial Number

Date Filed

☐

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

☐

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number

Date Filed

Patented/Pending/Abandoned

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Serial Number

Date Filed

60/212,749June 20, 2000

\_\_\_\_\_

\_\_\_\_\_

I hereby appoint the following persons of the firm of **WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP**, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania 19103 as attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael D. SteinReg. No. 34,734George J. AwadReg. No. 46,528

DOCKET NO. CRTW-0004


- 3 -

PATENT

Address all telephone calls and correspondence to:

**George J. Awad**  
**WOODCOCK WASHBURN KURTZ**  
**MACKIEWICZ & NORRIS LLP**  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone No.: (215) 568-3100  
Facsimile No.: (215) 568-3439

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>Name:</b>  <b>Shawn D. Cartwright</b>	 <b>Signature</b>
<b>Mailing Address:</b>  <b>149B North 3<sup>rd</sup> Street</b> <b>Philadelphia, PA 19106</b>	<b>Date of Signature:</b> <u>11/17/2000</u>
<b>City/State of Actual Residence:</b>  <b>Philadelphia, PA 19106</b>	<b>Citizenship:</b> <u>United States</u>

DOCKET NO. CRTW-0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Shawn D. Cartwright

Serial No.: 09/676,448

Filed: September 29, 2000

Group Art Unit: 2161

For: SYSTEM AND METHODS FOR  
OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME

Examiner: Not Yet Assigned

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

ASSOCIATE POWER OF ATTORNEY

The undersigned, of the firm WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania  
19103, Attorney and/or Agents for Applicant(s), hereby appoints the following:

Richard E. Kurtz	Registration No. 19,263	Norman L. Norris	Registration No. 24,196
John J. Mackiewicz	Registration No. 19,709	Lynn A. Malinoski	Registration No. 38,788
Dale M. Heist	Registration No. 28,425	Steven B. Samuels	Registration No. 37,711
John W. Caldwell	Registration No. 28,937	Anthony J. Rossi	Registration No. 24,053
Gary H. Levin	Registration No. 28,734	Terence P. Strobaugh	Registration No. 25,460
Steven J. Rocci	Registration No. 30,489	Michael J. Swope	Registration No. 38,041
Dianne B. Elderkin	Registration No. 28,598	Michael J. Bonella	Registration No. 41,628
John P. Donohue, Jr.	Registration No. 29,916	Harold H. Fullmer	Registration No. 42,560
Henrik D. Parker	Registration No. 31,863	John E. McGlynn	Registration No. 42,863
Suzanne E. Miller	Registration No. 32,279	Jonathan M. Waldman	Registration No. 40,861
Lynn B. Morreale	Registration No. 32,842	Paul K. Legaard	Registration No. 38,534
Mark DeLuca	Registration No. 33,229	Chad Ziegler	Registration No. 44,273
Joseph Lucci	Registration No. 33,307	Gwilym J.O. Attwell	Registration No. 45,449
Michael P. Dunnam	Registration No. 32,611	David N. Farsiou	Registration No. 44,104
Michael D. Stein	Registration No. 34,734	Maureen S. Gibbons	Registration No. 44,121
Albert J. Marcellino	Registration No. 34,664	Steven H. Meyer	Registration No. 37,189
David R. Bailey	Registration No. 35,057	Paul B. Milcetic	Registration No. P46,261
Doreen Yatko Trujillo	Registration No. 35,719	Joseph R. Condo	Registration No. 42,431
Barbara L. Mullin	Registration No. 38,250	Michael K. Jones	Registration No. 41,100
Michael P. Straher	Registration No. 38,325	Frank T. Carroll	Registration No. 42,392
Kevin M. Flannery	Registration No. 35,871	Mark J. Rosen	Registration No. 39,822
David A. Cherry	Registration No. 35,099	Mitchell R. Brustein	Registration No. 38,394
Robert B. Washburn	Registration No. 16,574	Eric H. Vance	Registration No. 47,151



Peter M. Ullman	Registration No. 43,963
Thomas E. Watson	Registration No. 43,243
Richard B. LeBlanc	Registration No. 39,495
Joseph D. Rossi	Registration No. 47,039
Roseleen P. Morris	Registration No. P47,321
Steven D. Maslowski	Registration No. 46,905
S. Maurice Valla	Registration No. 43,966
Vincent J. Roccia	Registration No. 43,887
Robin S. Quartin	Registration No. 45,028
Patrick J. Farley	Registration No. 42,524
Gregory L. Hillyer	Registration No. 44,154
Susan C. Murphy	Registration No. 46,221
David L. Marcus	Registration No. 46,897
Christine A. Goddard	Registration No. 46,731
Ellen M. Klann	Registration No. 44,836

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his/her associates with full power to prosecute the above-identified application and to transact all business in the Patent Office connected therewith and requests that correspondence continue to be directed to the firm of WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP at the above address.

Date: *January 24, 2001*

  
George J. Awad  
Registration No. 46,528

WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

**Paper:** Transmittal Letter in duplicate with Certificate of First Class Mailing Stamp; copy of Notice of Incomplete Reply; Response to Notice of Incomplete Reply; Copy of papers originally filed January 24, 2001 including: Response to Notice to File Missing Parts, copy of Notice to File Missing Parts, executed Combined Declaration and Power of Attorney, Associate Power of Attorney; check for surcharge of \$65.00, postcard with OIPE date stamp of January 26, 2001; Preliminary Amendment; check for \$67.00 for added claims; return card.

**Applicant(s):** Shawn D. Cartwright

**Title:** System and Methods for Obtaining Advantages and Transacting the Same

**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** May 1, 2001

GJAwad

RECEIVED BY THE UNITED STATES  
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**Applicant(s):** Shawn D. Cartwright

**Title:** System and Methods for Obtaining Advantages and Transacting the Same

**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** May 1, 2001

GJAwad/M. Sigmund



**WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP**

ONE LIBERTY PLACE - 48TH FLOOR  
PHILADELPHIA, PA 19103

Royal Bank  
of Pennsylvania  
60-100319

05/01/2001

CHECK DATE

103599

CHECK NO.

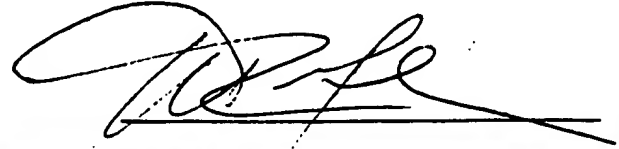
PAY **SIXTY-SEVEN AND 00/100 DOLLARS**

COPY OF PAPERS  
ORIGINALLY FILED

**\$\*\*\* \*\* \*67.00**

CHECK AMOUNT

TO THE  
ORDER  
OF  
  
DIRECTOR OF THE UNITED STATES  
PATENT AND TRADEMARK OFFICE



THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

103599 10319010971 10 4 060 1

**WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP**

DETACH AND RETAIN THIS STATEMENT  
THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW  
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2161

COPY OF PAPERS  
ORIGINALLY FILED

DOCKET NO.: CRTW-0004

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Shawn D. Carwright

Serial No.: 09/676,448

Group Art Unit: 2161

Filing Date: September 29, 2000

Examiner: Calvin L. Hewitt, II

**For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME**

RECEIVED  
JUN 27 2002  
Technology Center 2100

DATE OF DEPOSIT: June 17, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
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MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE  
AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231.

George J. Awad, Reg. No.: 46,528

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

RECEIVED  
JUN 28 2002

**REPLY TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-identified patent application is

- ☐ A Preliminary Amendment.
- ☒ An Amendment Responsive to the Office Action Dated March 15, 2002.
- ☐ An Amendment Supplemental to the Paper filed \_\_\_\_\_.
- ☒ Other: Copy of the following mailed May 1, 2002: (a) postcard receipt stamped by OIPE on May 7, 2001 acknowledging receipt of Preliminary Amendment and Response to Incomplete Reply; (b) Transmittal Letter; (c) Preliminary Amendment; (d) check for \$67 for added claims; (e) Response to Notice of Incomplete Reply; (f) Notice of Incomplete Reply; along with a copy of papers originally filed January 26, 2001: (a) Notice to File Missing Parts; (b) Response to Notice to File Missing Parts; (c) executed Combined Declaration and Power of Attorney; (d) Associate Power of Attorney; check for \$65.00 for surcharge; (e) postcard with OIPE date stamp of January 26, 2001.

GROUP 3600

DOCKET NO.: CRTW-0004

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PATENT

- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages \_\_\_\_\_ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ \_\_\_\_\_ Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
  - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: \_\_\_\_\_.
- ☐ Other Material as follows: \_\_\_\_\_.

## FEE CALCULATION

☐ No Additional Fee is Due.

	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
TOTAL CLAIMS	46	46 (20 MINIMUM)	-0-	\$9 EACH	\$ -0-	\$18 EACH	\$
INDEP. CLAIMS	10	10 (3 MINIMUM)	-0-	\$42 EACH	\$ -0-	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE					\$		\$

- ☐ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of \_\_\_\_\_ to and through \_\_\_\_\_ comprising an extension of the shortened statutory period of \_\_\_\_\_ months.
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

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PATENT

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: June 17, 2002

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Registration No. 46,528

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